

Dated: October 12, 2004

Signature: (Danielle L. Herritt)

Docket No.: ULI-001 (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Rudolf Faust et al.

Application No.: 10/776681

Filed: February 11, 2004

For: END-CAPPED POLYMER CHAINS AND

PRODUCTS THEREOF

Art Unit: 1713

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed May 12, 2004, Applicant respectfully submits a Declaration for Patent Application, the Filing Fee for the Application (as shown on accompanying Fee Transmittal), and Part 2 Copy of Notice.

Please charge our Deposit Account No. 12-0080 in the amount of \$2,240.00 (\$790 filing fee, \$340 extra claim fee, \$980 extension fee, and \$130 surcharged based on a large entity). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. ULI-001. Applicants request any extensions of time necessary to respond.

Dated: October 12, 2004

Respectfully submitted,

Danielle L. Herritt

Registration No.: 43,670

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicant

February 11, 2004

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Onder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number 10/776681

Filing Date

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

First Named Inventor	Rudolf FAUST				
Art Unit	1713				
Examiner Name	Not Yet Assigned				

Total Number of Pages in This Submission

1

Attorney Docket Number **ULI-001**

ENCLOSURES (Check all that apply)							
X Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC			
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After	Final	Petition to Convert to a Provisional Application		Proprietary Information			
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter			
x Extension of Time Request		Terminal Disclaimer		X Other Enclosure(s) (please Identify below):			
Express Abandonment Request		Request for Refund	Declaration, Petition and				
Information Disclosure Statement		CD, Number of CD(s)		Notification of Change of Attorney Docket Number Part 2 Copy of Notice			
Certified Copy of Priority Document(s)		Landscape Table on CD Certificate of Express Mailing Return Receipt Postcard					
	lissing Parts/ Application	Remarks					
X Repl	y to Missing Parts under FR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Name	LAHIVE & COCKFIELD. LLP						
Signature	Signature						
Printed name	Danielle L. Herritt						
October 12, 2004			Reg. No.	43,670			

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 419 927 923
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Dated: October 12, 2004

Signature:

(Danielle L. Herritt)

Approved for use through 7/31/2006. OMB 0651-0032

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ÆFF TDANCRAITTAL		
※FEE TRANSMITTAL	Application N	
for FY 2005	Filing Date	
1011 = 2000	First Named I	
Effective 10/01/2004. Patent fees are subject to annual revision.		

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2.240.00 Attorney Docket No.

Complete if Known 10/776681 lumber February 11, 2004 Rudolf FAUST Inventor **Examiner Name** Not Yet Assigned 1713 **Art Unit** ULI-001

ME	THOD	OF P	AYMENT (check all that	apply)	FEE CALCULATION (continued)					
Che		Credit Card]		3. A	DDITI	ONAL	FEES	3	
X Dep	osit Acco	unt:								
Deposit			40.0000		-	Entity		Entity	<u>-</u>	
Account Number			12-0080		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit			00 101110	_	1051	130	2051	65	Surcharge – late filing fee or oath	130.00
Account Name	L	anıve	& Cockfield, LLP				•		Surcharge – late provisional filing fee or cover	
The Direct	or is auti	orized	to: (check all that apply)		1052	50	2052	25	sheet.	
X Char	je fee(s) ir	dicated	below X Credit any o	overpayments	1053	130	1053	130	Non-English specification	
X Char	e any add	litional 1	fee(s) or any underpayment of	fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
			below, except for the filing to deposit account.	fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
					1805	1,840*	1805	1,840*	, Requesting publication of SIR after Examiner action	
		FEE	CALCULATION		1251	110	2251	55	Extension for reply within first month	
1. BASI	FILING	G FEE			1252	430	2252	215	Extension for reply within second month	
Large Enti	* .	l Entit	•		1253	980	2253	490	Extension for reply within third month	980.00
Fee Fe Code (\$		Fee (\$)		Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	
1001 79				790.00	1255	2,080	2255	1,040	Extension for reply within fifth month	···-
1002 35	2002	175	Design filing fee		1401	340	2401	170	Notice of Appeal	
1003 55	2003	275	Plant filing fee		1402	340	2402	170	Filing a brief in support of an appeal	
1004 79	2004	395	Reissue filing fee		1403	300	2403	150	Request for oral hearing	
1005 16	2005 2	80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
l		SUE	STOTAL (1) (\$)	790.00	1452	110	2452	55	Petition to revive – unavoidable	
			· / L · /		1453	1,370	2453	685	Petition to revive – unintentional	
2. EXTR	A CLAII	VI FEI	ES FOR UTILITY AND Extra Fee from	REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)	
			Extra Fee from Claims below	Fee Paid	1502	490	2502	245	Design issue fee	
Total Claim		-20*1	'= 14 x 18.00 =	252.00	1503	660	2503	330	Plant issue fee	
Independen Claims	t 4	-3**	= 1 x 88.00 =	88.00	1460	130	1460	130	Petitions to the Commissioner	
Multiple De	endent				1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entit	y Small	Entity			1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$)		Fee (\$)	Fee Descriptio	<u>n</u>	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18		9	Claims in excess of 20		1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 88		44	Independent claims in exce	ess of 3	4840	700	2010	205	For each additional invention to be	
1203 30		150	Multiple dependent claim, i	•	1810	790	2810	395	examined (37CFR 1.129(b))	
1204 88	2204	44	** Reissue independent cla over original patent	ims	1801	790	2801	395	Request for Continued Examination (RCE)	<u> </u>
1205 18	2205	9	** Reissue claims in excess	s of 20	1802	900	1802	900	Request for expedited examination of a design application	
and over original patent				Other f	ee (spec	ify)				
	SUBTOTAL (2) (\$) 340.00				*Redu	ced by E	Basic Fil	ling Fee	Paid SUBTOTAL (3) (\$)	1,110.00
**or numb	er previou	slv pai	d, if greater, For Reissues, s	see above					- , , , , , , , , , , , , ,	

SUBMITTED BY			(Complete (if applicable))		
Name (Print/Type)	Danielle L. Herritt	Registration No. (Attorney/Agent)	43,670	Telephone	(617) 227-7400
Signature	-//UM			Date	October 12, 2004

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Dated: October 12, 2004

__ (Danielle L. Herritt)

နေတို်Dication No. (if known): 10/776681

Attorney Docket No.: ULI-001

Certificate of Express Mailing Under 37 CFR 1.10

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on October 12, 2004
Date

Danielle L. Herritt

Typed or printed name of person signing Certificate

Each paper must have its own certificate of mailing, or this certificate must identify

43,670

(617) 227-7400

Registration Number, if applicable

Telephone Number

each submitted paper.

Transmittal (1 page)

Note:

Fee Transmittal (1 page- in duplicate)

Three Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)

Supplemental Application Data Sheet (2 pages)

Response to Notice to File Missing Parts of Application (1 page)

Declaration, Petition and Power of Attorney (5 pages)

Notification of Change of Attorney Docket Number (1 page)

Part 2 Copy of Notice (2 pages)

This Certificate of Express Mailing (1 page)

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United States Patent and Trademark Office

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARIMENT OF COMORD United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.unjto.gov

APPLICATION NUMBER

FILING OR 37 ((c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/776,681

02/11/2004

Rudolf Faust

03-407

27774 MAYER, FORTKORT & WILLIAMS, PC MAYER, FORTKOR 251 NORTH AVENUE WEST ≥ 2ND FLOOR WESTFIELD, NJ 07090

Mary Comments & VELLIAMS LLC

CONFIRMATION NO. 3827 **FORMALITIES LETTER** *OC000000012601700*

Date Mailed: 05/12/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

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FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$338 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1238 for a Large Entity

10/15/2004 CNGUYEN 00000085 120080

10776681

790.00 DA 01 FC:1001 02 FC:1051 130.00 DA 03 FC:1202 252.00 DA 88.00 DA 04 FC:1201

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$338
 - \$86 for 1 independent claims over 3.
 - \$252 for 14 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY